

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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SUSAN F. BLOOM and FIDUCIARY)
TRUST COMPANY INTERNATIONAL,)
as Executors of the Last Will and Testament)
of ADELE KLAPPER,)

Plaintiffs,)

v.)

JUAN CARLOS EMDEN, MIGUEL ERIC)
EMDEN, and NICOLAS MARCELO)
EMDEN,)

Defendants.)

Civil Action No. 19-10155-RA

The United States District Court for the Southern District of New York (“District Court”) presents its compliments to Obergericht des Kantons Zürich and respectfully requests assistance to obtain documentary evidence from Foundation E.G. Bührle Collection to be used at trial in a civil lawsuit before this Court in the above-captioned action (“Action”).

This request is made pursuant to, and in conformity with the Hague Convention of 18 March 1970 on the Taking of Evidence Abroad in Civil or Commercial Matters (“Hague Evidence Convention”), to which both Switzerland and the United States are a party.

The Court considers that the evidence sought is directly relevant to the issues in dispute.

The particulars of this Hague Evidence Request are as follows:

1.	Sender	Honorable Katharine H. Parker United States District Court, Southern District of New York Daniel Patrick Moynihan United States Courthouse 500 Pearl St. New York, NY 1007-1312 USA Chambers phone: (212) 805-0234 Chambers email: Parker- _NYSDChambers@nysd.uscourts.gov
2.	Central Authority of the Requested State	Obergericht des Kantons Zürich Internationale Rechtshilfe Hirschengraben 13/15 Postfach 8021 Zürich 1
3.	Person to whom the executed request is to be returned	Nicholas O'Donnell, Esq. Sullivan & Worcester LLP One Post Office Square Boston, Massachusetts 02109 USA Email: nodonnell@sullivanlaw.com On behalf of: Honorable Katharine H. Parker United States District Court, Southern District of New York Daniel Patrick Moynihan United States Courthouse 500 Pearl St. New York, NY 1007-1312 USA
4.	Specification of the date by which the requesting authority requires receipt of the response to the Letter of Request	n/a
5a.	Requesting judicial authority	United States District Court for the Southern District of New York Daniel Patrick Moynihan United States Courthouse 500 Pearl St. New York, NY 1007-1312 USA
5b.	To the competent authority of	Switzerland

6.	Names of the case and identifying number	<i>Susan F. Bloom v. Juan Carlos Emden</i> , No. 19-cv-10155
6a.	Plaintiffs	<p>Susan F. Bloom 7800 Peconic Bay Boulevard Lauren, NY 11948</p> <p>and</p> <p>Fiduciary Trust Company International 280 Park Avenue New York, NY 10017</p> <p>Represented by: John D. Winter, Esq. Emma Ellman-Golan Patterson Belknap Webb & Tyler LLP 1133 Avenue of the Americas New York, NY 10036 USA Email: jwinter@pbwt.com</p>
6b.	Defendants	<p>Juan Carlos Emden Luz Vicuna 190-194 Zapallar 5TA Region Chile</p> <p>Miguel Eric Emden Candelaria Goyenechea 3930, Dpt 304 Vitacura, Santiago, Chile</p> <p>and</p> <p>Nicolas Marcelo Emden Valle del Monasterio 2332 Dpt. Z01, Lo Barnechea, Santiago, Chile</p> <p>Represented by: Nicholas O'Donnell, Esq. Sullivan & Worcester LLP One Post Office Square Boston, Massachusetts 02109 USA Email: nodonnell@sullivanlaw.com</p>
7a.	Nature of the proceedings	Declaratory judgment.

7b.	Summary of complaint	See below.
7c.	Summary of defense	
7d.	Other necessary information or documents.	

NATURE AND SUMMARY OF THE PROCEEDING


This case is a declaratory judgment action regarding title to a painting by Monet known as *Le Palais Ducal*.

Max Emden, a German businessman and art collector, was persecuted by Germany as a Jew during the Holocaust. He owned the Painting at the time of his death in 1940. The Painting passed to Max's son, Hans Erich Emden. The defendants (Juan Carlos Emden, Miguel Eric Emden, and Nicolas Marcelo Emden) are the sons and heirs of Hans Erich Emden.

Plaintiffs allege that, in 1942, Olga Ammann—a secretary and confidant of Max Emden and Hans Erich Emden—sold the Painting on behalf of Hans Erich Emden. They allege that Ms. Amman was fully and properly authorized to enact a sale, and that the alleged sale was valid. Plaintiffs allege that either (1) Ms. Ammann sold the Painting to Walter Feilchenfeldt, who later sold it to Herman Lutjens, or (2) that Ms. Ammann sold the Painting to Walter Feilchenfeldt on behalf of Herman Lutjens. The Plaintiffs and Defendants agree that, in 1952, Herman Lutjens displayed the Painting at the Kunsthau Zürich.

The Painting later came into the custody of Adele Klapper, who died in 2018. Plaintiffs Susan F. Bloom and Fiduciary Trust Company International are the executors of the last will and testament of Adele Klapper. They desire to sell the Painting. In order to sell it, they have asked the United States District Court for the Southern District of New York for a declaration that Adele Klapper's estate possesses clear and marketable title to the Painting, free of any claim by the Defendants or any other unknown heir of Hans Erich Emden.

8a.	Evidence to be obtained	Documents to be obtained from the Foundation E.G. Bührle Collection (please see list of documents itemized in Schedule A, attached).
8b.	Purpose of the evidence sought	The Foundation E.G. Bührle Collection wrote a statement regarding another painting once owned by Max Emden and, more generally, regarding the art collection and persecution of Max Emden. Plaintiffs relied on this letter in their Complaint. Defendants seek other documents regarding the art collection and persecution of Max Emden that may be relevant to the validity of any sale of the Painting.
9.	Identity and address of any person to be examined	Not applicable; Defendants do not seek depositions. The person from whom documents are sought is: Foundation E.G. Bührle Collection Bleicherweg 18 CH-8002 Zurich
10.	Questions to be put to the persons to be examined or statement of the subject matter about which they are to be examined	Not applicable; Defendants do not seek depositions.
11.	Documents or other property to be inspected	Please see the attached Schedule A. Defendants seek photocopies of the documents.
12.	Any requirement that the evidence to be given on oath or affirmation and any special form to be used	Not applicable; Defendants do not seek depositions.
13.	Special methods or procedure to be followed (e.g. oral or in writing, verbatim transcript or summary, cross-examination, etc.)	Not applicable; Defendants do not seek depositions.
14.	Request for notification of the time and place for the execution of the Request and identity and address of any person to be notified	Please notify the counsel listed in Section 6 regarding the time and place for the execution of the Request.
15.	Request for attendance or participation of judicial	No attendance of judicial personnel is requested.

	personnel of the requesting authority at the execution of the Letter of Request	
16.	Specification of privilege or duty to refuse to give evidence under the law of the Requesting State	Under the laws of the United States, a witness has a privilege to refuse to give evidence if to do so would disclose a confidential communication between the witness and his attorney that was communicated specifically for the purpose of obtaining legal advice and which privilege has not been waived. United States law also recognizes a privilege against self-incrimination. Certain limited immunities are also recognized outside the strict definition of privilege, such as the limited protection of work product created by attorneys during or in anticipation of litigation.
17.	The fees and costs incurred which are reimbursable under the second paragraph of Article 14 or under Article 26 of the Convention will be borne by	Should there be any costs associated with the service herein, including the required fees and costs incurred in executing this letter of request, in serving process to compel the appearance of the witness and his attendance, and in preparing a transcript of the proceedings, pursuant to Article 26 of the Hague Convention, it will be the responsibility of the attorneys for Defendants to reimburse the judicial authorities of Switzerland for any costs and fees. Please direct any correspondence or communications concerning costs to Defendants' Counsel identified in 6b.
	Reciprocity	In the furtherance of justice and by the proper and usual process of this court, the District Court assures the judicial authorities of Switzerland that it is willing to provide similar cooperation and assistance to the judicial authorities of Switzerland in the event that Switzerland requests similar assistance.
	Date of Request	<u>June</u> 28, 2021
	Signature and Seal of the Requesting Authority	 <u>Katharine H. Parker</u> Hon. Katharine H. Parker

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SCHEDULE A – Foundation E.G. Bührle Collection

Please provide photocopies of the documents listed.

1. All documents concerning Max Emden, including (without limitation) his persecution and/or art collection.
2. All documents concerning the painting known as *Le Palais Ducal* by Claude Monet, dated 1908, and previously owned by Max Emden (the “Painting”).
3. All documents concerning the painting known as Poppy Field Near Vétheuil by Claude Monet.